# Rebalancing powers in impact assessment



Business and Human Rights Senior Advisor, Oxfam
Canada

Caroline.Brodeur@oxfam.org

linkedin.com/in/carolinebrodeur

https://www.oxfamamerica.org/





Bringing communities on board to rebalance powers in impact assessment

## INEQUALITY OF POWER IS THE ROOT CAUSE OF HUMAN RIGHTS VIOLATIONS







### xfam and COBHRAs

3005

3005

2009

30/2 2014

3076

2078

2079

500

2027

Adoption of HRIA

1st version Getting it Right

1st pilot cases

New cases Bolivia + USA FIDH, Oxfam and R&D –

R&D closes

Training manual and policy paper

E-learning module

Uganda/Tanzania Guatemala + New cases:

**COBHRA** chapter **COBHRA COP** 

**Guatemala Mexico** New cases:

(Gender-based Analysis) **Assessment Framework** Launch of revised tool

## The Approach

- Internationally recognized human rights standards as benchmark
- Human rights in principles vs human rights in practice
- Engagement of all stakeholders
- Rights holders in the driver seat
- Identification of main human rights risks and impacts
- Analysis and attribution of responsibilities
- Compiling, validating and publishing the findings

HRIAs must be part of a broader process: F/U actions needed!





## Key principles and best practices

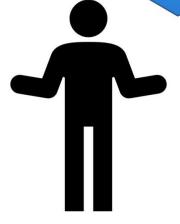
- The research team: Experience, skills and qualities
- Scope of HRIA: Representativeness and relevance of selected target,
- Meaningfulness of engagement: The how? The who? The what?
- Strength of human rights analytical framework
- Robustness of assessment of company's human rights impacts
- Appropriateness of recommendations
- Include a timbe bound action plan



## IA and meaningful engagement

How can we really address the power imbalance and ensure solid HRDD processes?

Could a Hybrid HRIA be a solution?







## Why undertake a hybrid HRIA?

- Address legitimacy challenge that parallel HRIAs face
- Decrease power imbalance
- Improve communication between rights holders and company - can help establish sustainable communitycompany relationships and avoid potential conflict.
- Could help build consensus on key priorities.
- Increase understanding of context and priorities
- Could help reconcile government's role as the primary duty bearer (if involved)
- More insightful analysis
- More receptive audience for recommendations
- Sustainable and supported action plan



#### What is a hybrid HRIA?

"Joint process undertaken by rights-holders and a company (and potentially the host government or other stakeholders) to investigate, measure and respond to human rights impacts of a business project."

Cordes, Szoke-Burke and Bansal, 2019

### When and where to engage in hybrid HRIA?

#### Local context

- Political climate
- Security context
- Value added in difficult context

#### Type of investment project

- Complexity of the project
- Status of the project/timing

#### Affected local communities

- Less appropriate if high level of conflict between community and company
- Inappropriate if high level of division within community(ies)

#### Company involved

- Level of human rights sensitization
- Sufficient resources to engage in thorough assessment process
- Existing expertise or willingness to learn and engage

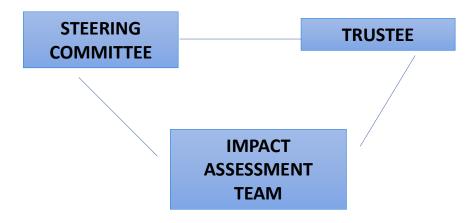
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## Prerequisite conditions

- Community consents to this joint approach
- Capacities of communities have been developed
- Willingness from both sides to use a gender lens.

# What safeguard mechanisms to put in place?

- Structure should guard against powerful imbalance and avoid replicating existing unequal dynamics
- Need clear rules of conduct (including decision-making powers, editorial rights, budget management, scope and stakeholder identification).





## Let's continue the conversation!

Post questions and comments via chat in the IAIA22 platform.



#iaia22

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